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Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

On Oct. 22, 2003
By Diane Dunn
Diane D. Dunn

Attorney Docket No. 0191.210US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sun Ai Raillard *et al.*

Application No.: 09/920,452

Filed: July 31, 2001

For: Nucleotide Incorporating Enzymes

Examiner: Richard G. Hutson

Art Unit: 1652

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A-B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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SERIALIZED FILED



As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

The cited references were previously cited in the IDS of November 6, 2001, however the Examiner indicated in the Office Action of April 22, 2003 that he did not have the references. Therefore, the publications are being provided with this Supplemental Information Disclosure Statement

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

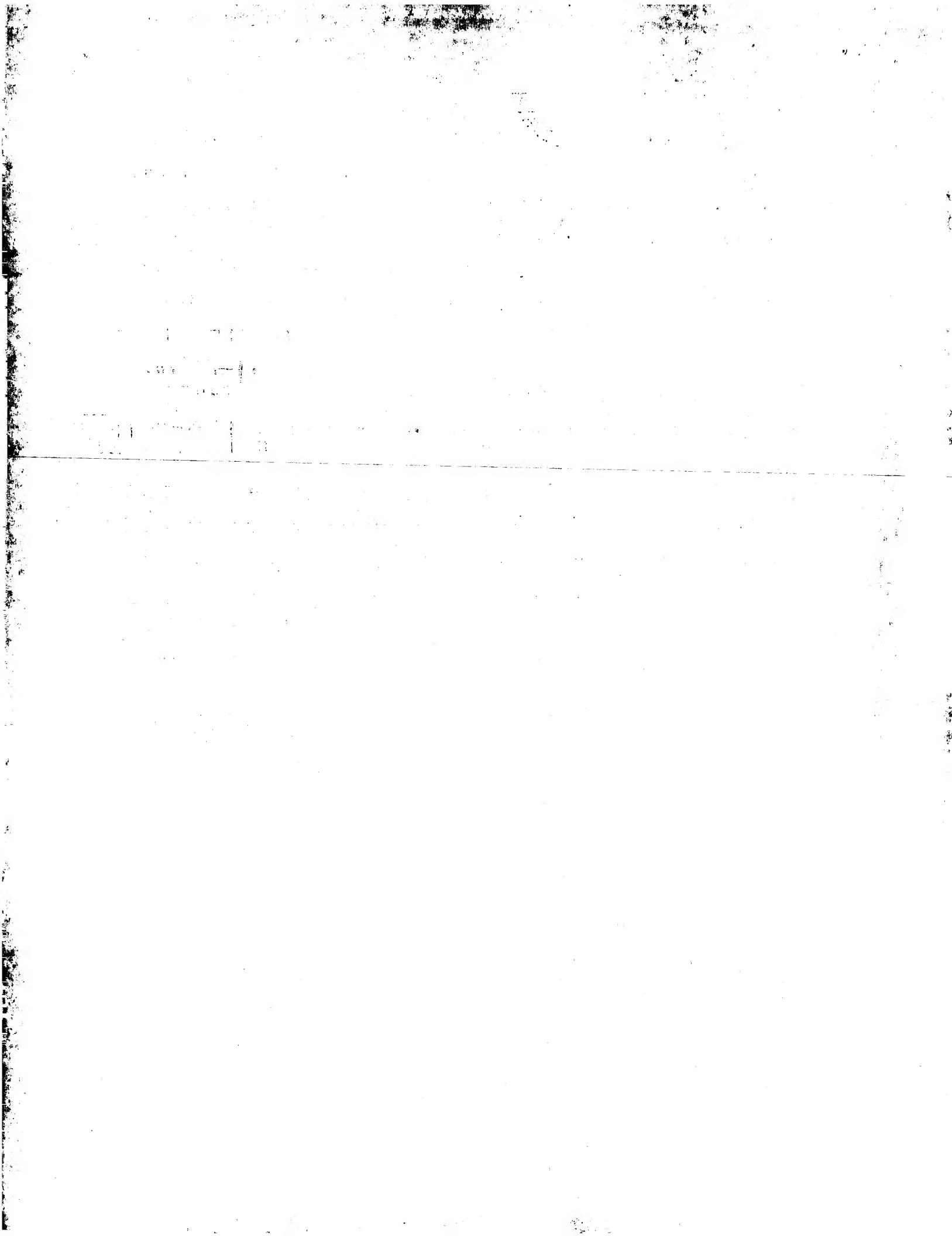
The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0990. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 that may be required and/or credit any overpayment, to Deposit Account No. 50-0990.

Respectfully submitted,

By: 
Sharon M. Fujita
Attorney for Applicant
Reg. No. 38,459

October 22, 2003

MAXYGEN, INC.
Patent Department
515 Galveston Drive
Redwood City, California 94063
Telephone: (650) 298-5421
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Customer No: 30560



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PTO/SB/17 (01-03)
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165218

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	09/920,452
Filing Date	July 31, 2001
First Named Inventor	Sun Ai Raillard et al.
Examiner Name	Richard G. Hutson
Art Unit	1652
Attorney Docket No.	0191.210US

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METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number	50-0990
Deposit Account Name	Maxygen, Inc.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to Institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility Issue fee (or reissue)	
1502	470	2502	235	Design Issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination or a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

**or number previously paid, if greater; For Reissues, see above

(Complete if applicable)

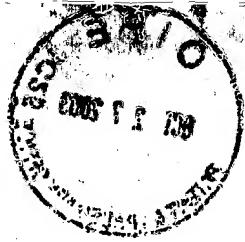
Name (Print/Type)	Sharon M. Fujita	Registration No. (Attorney/Agent)	38,359	Telephone	650-298-5421
Signature	<i>Sharon M. Fujita</i>			Date	October 22, 2003

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Typed or Printed Name	Diane D. Dunn		
Signature	<i>Diane Dunn</i>	Date	10/22/03

OCT 31 2003

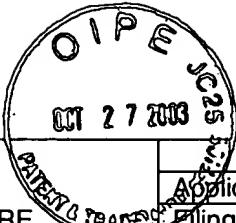
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(Modified) PTO/SB/08A-B (10-96)

<p>Substitute for form 1449A-B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>	<p style="text-align: center;">Complete if Known</p> <table border="0"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">09/920,452</td> </tr> <tr> <td>Filing Date</td> <td>July 31, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Sun Ai Raillard</td> </tr> <tr> <td>Group Art Unit</td> <td>1652</td> </tr> <tr> <td>Examiner Name</td> <td>Richard G. Hutson</td> </tr> <tr> <td>Attorney Docket Number</td> <td>0191.210US</td> </tr> </table>	Application Number	09/920,452	Filing Date	July 31, 2001	First Named Inventor	Sun Ai Raillard	Group Art Unit	1652	Examiner Name	Richard G. Hutson	Attorney Docket Number	0191.210US
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Attorney Docket Number	0191.210US												

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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